# **Unsupervised Model Evaluation**

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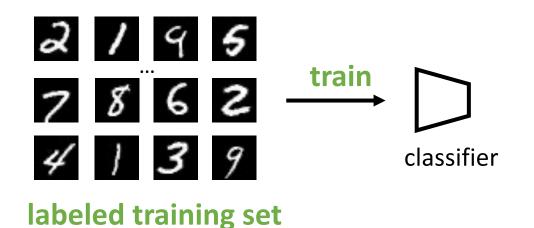
### Pillars in Machine Learning

### I. training



## Pillars in Machine Learning: Training







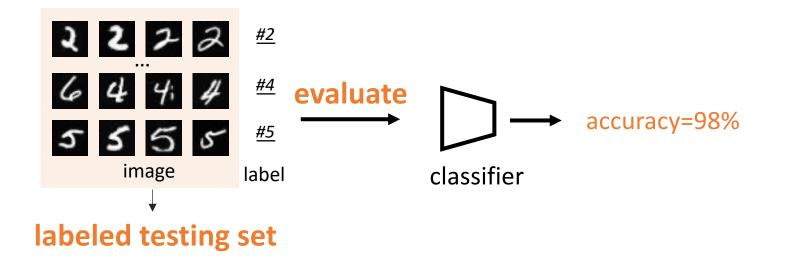
## Pillars in Machine Learning: Testing

I. training



labeled training set

II. testing



## Supervised Evaluation

# Test set is fully annotated

Ground truths are provided



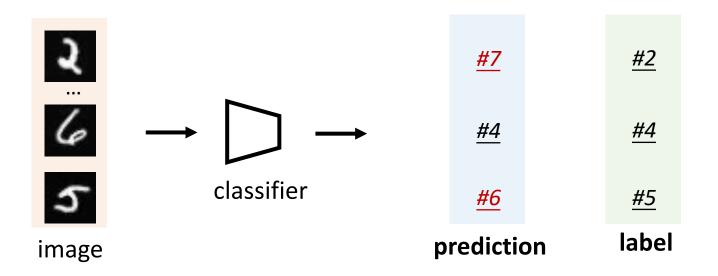
image



### **Supervised Evaluation**

### Test set is fully annotated

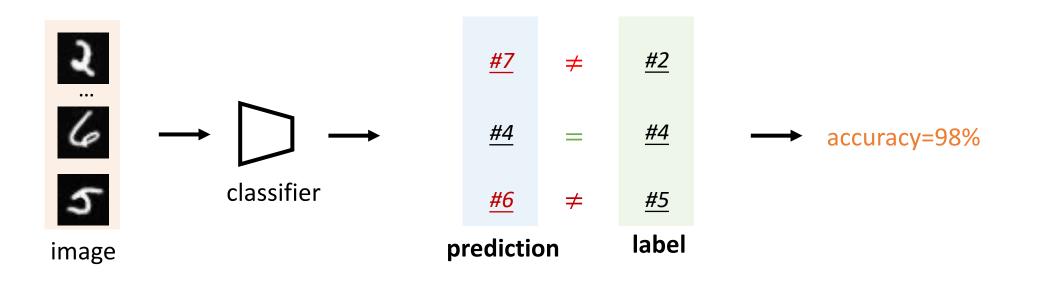
Ground truths are provided



### **Supervised Evaluation**

### Test set is fully annotated

Ground truths are provided



### In-distribution Benchmarks



Cityscape





MSCOCO



Visual Object Classes Challenge 2009 (VOC2009)



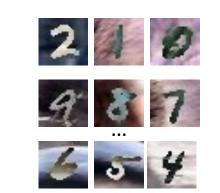


PASCAL

Test set is unlabeled Only images are provided How to evaluate model <u>without labels</u>?



Unlabeled Test set 1



Unlabeled Test set 2



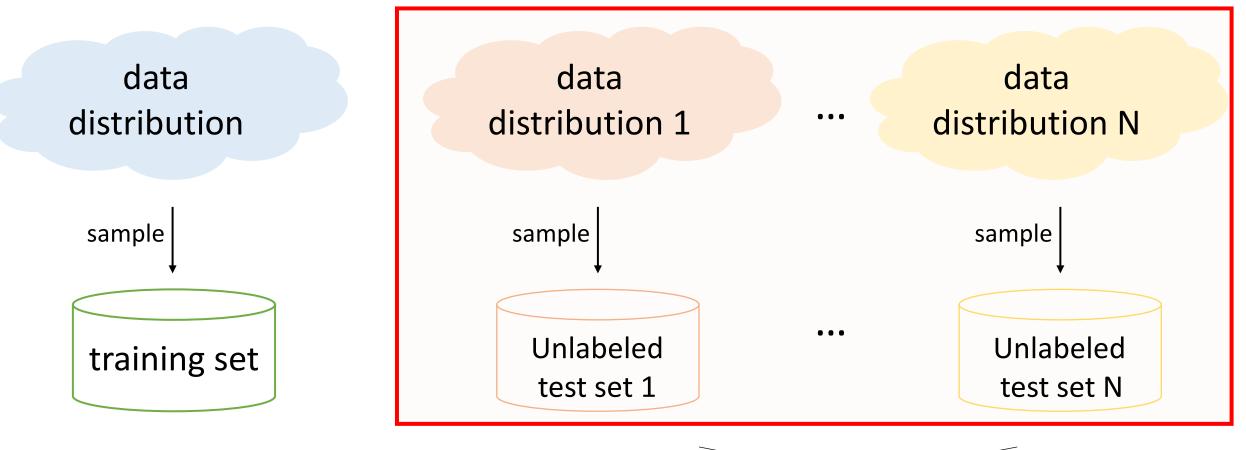
Unlabeled Test set 3





#### Unlabeled Test set 3

### Evaluation Beyond Textbook



i.i.d. assumption

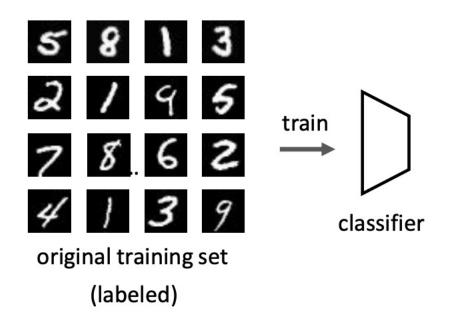
## We Encounter This Problem Many Times

- Deploy face recognition model in a new airport
- Deploy a 3D object detection system to another city

• .

We can't quantitatively measure the model accuracy like we usually do!

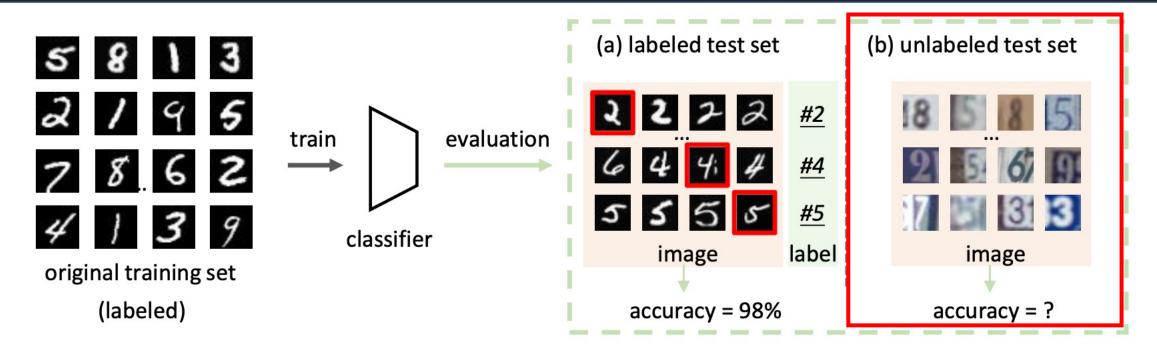
We need to **annotate** the test data When the testing environment is changed, we need to **annotate again** 



#### Given

- A training dataset
- A classifier trained on this dataset
- A test set without labels

Deng, Weijian, and Liang Zheng. "Are Labels Necessary for Classifier Accuracy Evaluation?", In CVPR, 2021; TPAMI 2022



#### Given

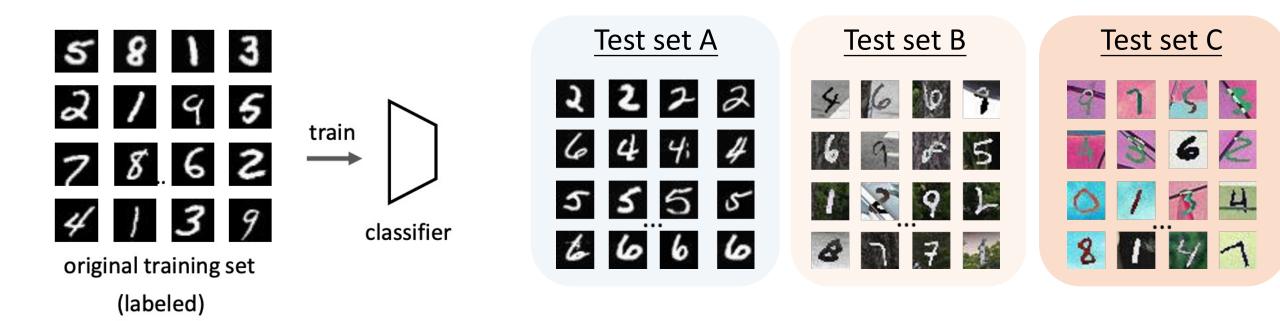
- A training dataset
- A classifier trained on this dataset
- A test set without labels

#### We want to *estimate*: accuracy on the unlabelled test set

Deng, Weijian, and Liang Zheng. "Are Labels Necessary for Classifier Accuracy Evaluation?", In CVPR, 2021; TPAMI 2022

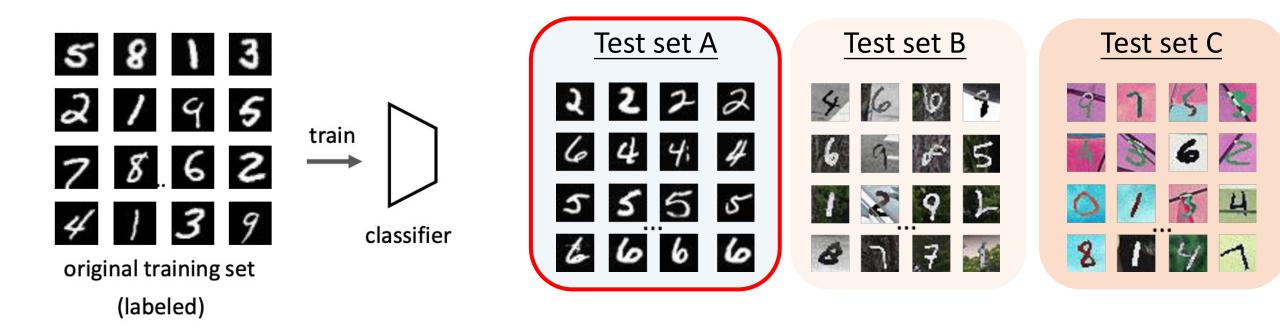
- Accuracy prediction based on dataset shift
- Self-supervision for unsupervised evaluation

### Accuracy Prediction Based on Dataset Shift



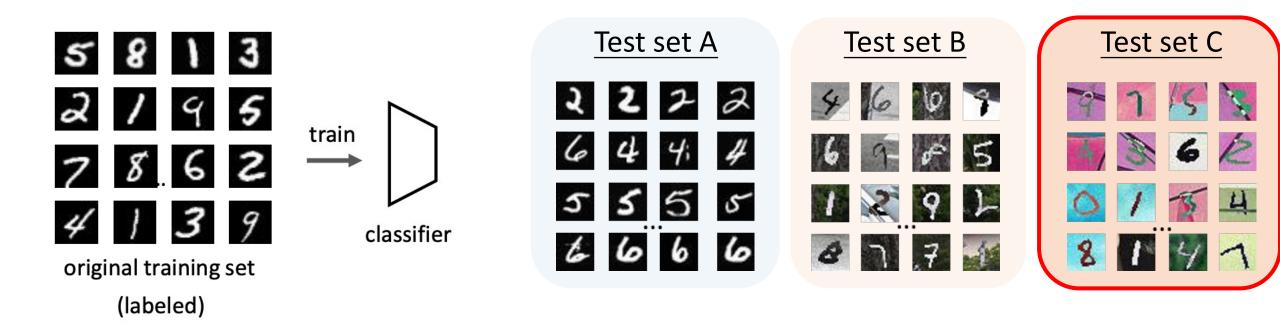
### Q: Classifier performs best on ...?

### Accuracy Prediction Based on Dataset Shift



### **Test set A** is more similar to training set

### Accuracy Prediction Based on Dataset Shift



### Test set C looks quite different from training set

# **Correlation Study**

1. We collect many test sets from different distributions

- 2. For each test set, we obtain

   a) its distance with training set
   (*Fréchet distance*)
   b) classification accuracy
- 3. Measure the accuracy relationship between the two statistics

### Correlation Study: How Can We Have Many Datasets?

• Using image transformations

original set



original set 2 1 Ø 9 8 7

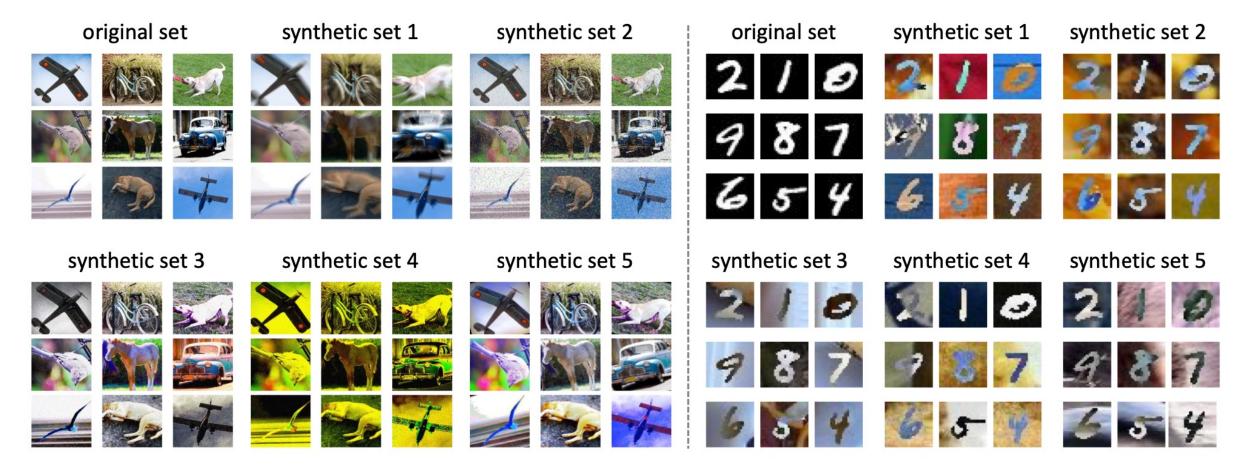


**COCO** setup

**MNIST** setup

### Correlation Study: How Can We Have Many Datasets?

### • Using image transformations



**COCO** setup

MNIST setup

### Correlation Study: How To Obtain Accuracy?

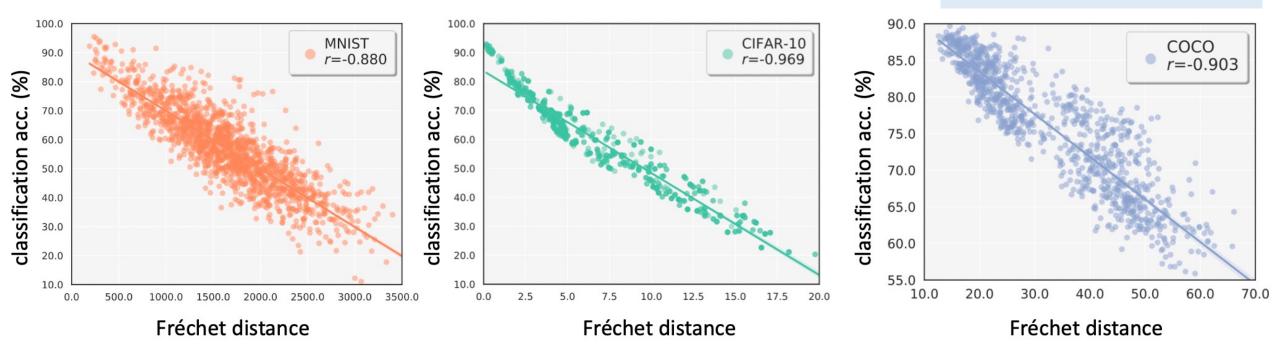


### Labels of the synthetic sets are inherited from the original set



### Correlation Study on Three Setups

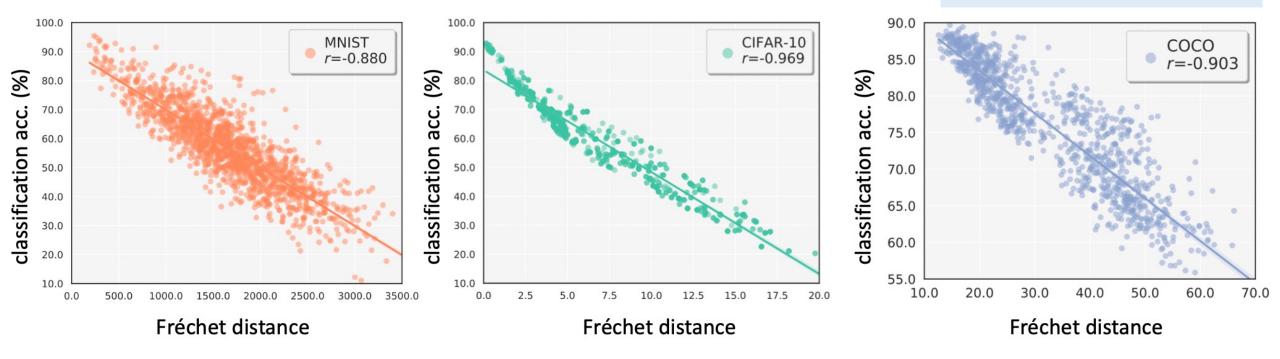
#### Every point is a dataset



we consistently observe a strong negative linear relationship (*Pearson Correlation r <0.88*) between the accuracy of two tasks

### Correlation Study on Three Setups

#### Every point is a dataset



This indicates that the classifier tends to gain a **high accuracy** on the sample set which has a **low distribution shift** with training set.

- Linear regression
- Network regression

• Linear regression

Fréchet distance (FD) between the test set and the original training set

$$a_{linear} = A_{linear}(\boldsymbol{f}) = w_1 f_{linear} + w_0$$

$$\downarrow \frac{\textit{Fréchet distance}}{\int f_{linear}} = \mathrm{FD}(\mathcal{D}_{ori}, \mathcal{D}) = \|\boldsymbol{\mu}_{ori} - \boldsymbol{\mu}\|_2^2 + Tr(\boldsymbol{\Sigma}_{ori} + \boldsymbol{\Sigma} - 2(\boldsymbol{\Sigma}_{ori}\boldsymbol{\Sigma}))^{\frac{1}{2}}$$

- Linear regression
- Network regression

#### **FD + mean + covariance (sum) for representing each dataset**

We calculate  $\sigma$  by taking a weighted summation of each row of  $\Sigma$  to produce a single vector

$$= f_{neural} = [f_{linear}; \boldsymbol{\mu}; \boldsymbol{\sigma}]$$

• We use neural network regression

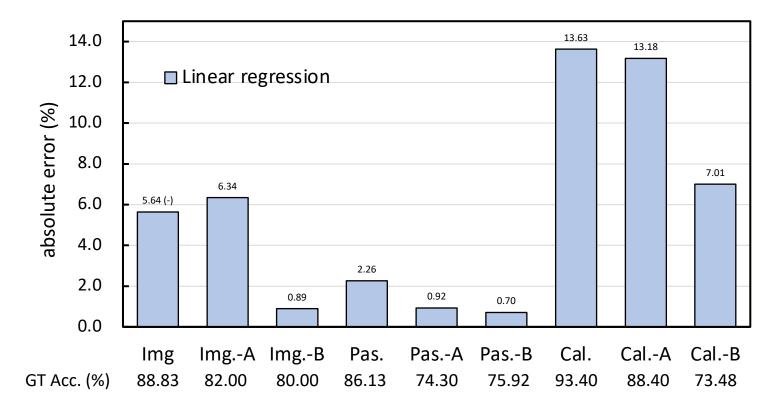
$$a_{neural} = A_{neural}(f_{neural})$$

• Linear regression achieves promising estimations

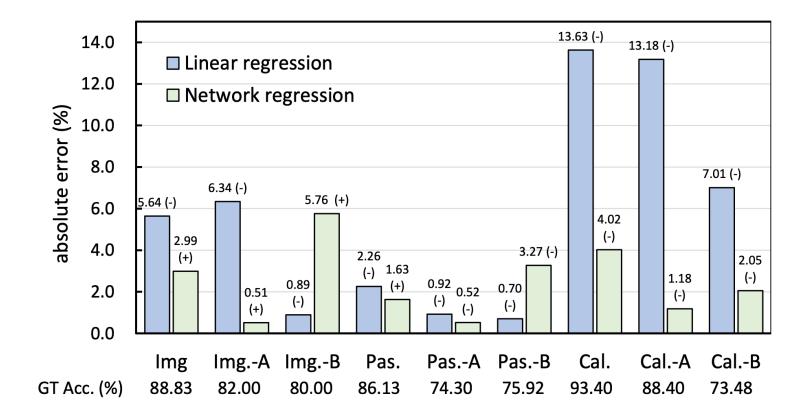
Training set	Seed set		Test sets	
COCO training set	COCO validation set		PASCAL, ImageNet, and Caltech	
Image transformations			ormations	
Many synthesized test sets				
		train		
Regression models				

• Linear regression achieves promising estimations

Training set	Seed set	Test sets
COCO training set	COCO validation set	PASCAL, ImageNet, and Caltech



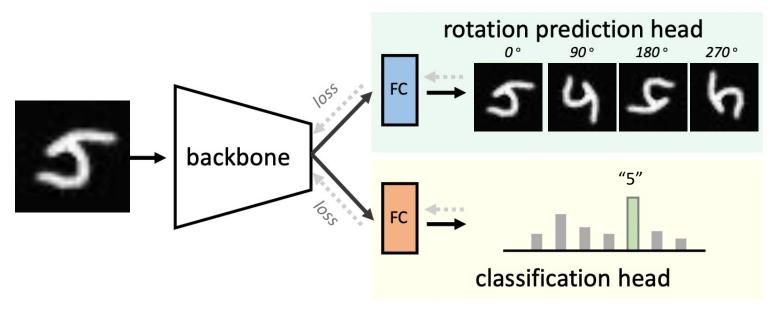
- Linear regression achieves promising estimations
- Network regression makes more accurate predictions



- Accuracy prediction based on dataset shift
- Self-supervision for unsupervised evaluation

### Self-Supervision for Unsupervised Classifier Evaluation

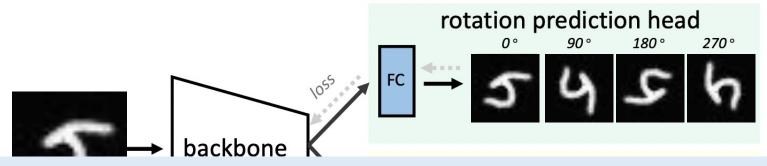
• Multi-task network structure



Deng, Weijian, Stephen Gould, and Liang Zheng. "What Does Rotation Prediction Tell Us about Classifier Accuracy under Varying Testing Environments?." ICML, 2021.

### Self-Supervision for Unsupervised Classifier Evaluation

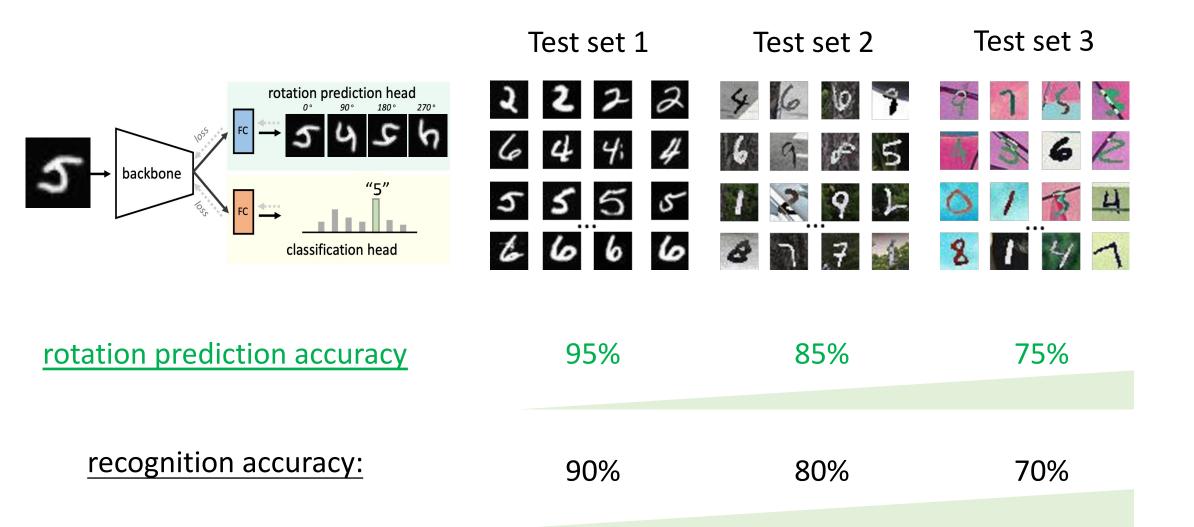
Multi-task network structure



### Rotation prediction is self-supervised: we can obtain its rotation labels freely and calculate its accuracy on any test set

Deng, Weijian, Stephen Gould, and Liang Zheng. "What Does Rotation Prediction Tell Us about Classifier Accuracy under Varying Testing Environments?." ICML, 2021.

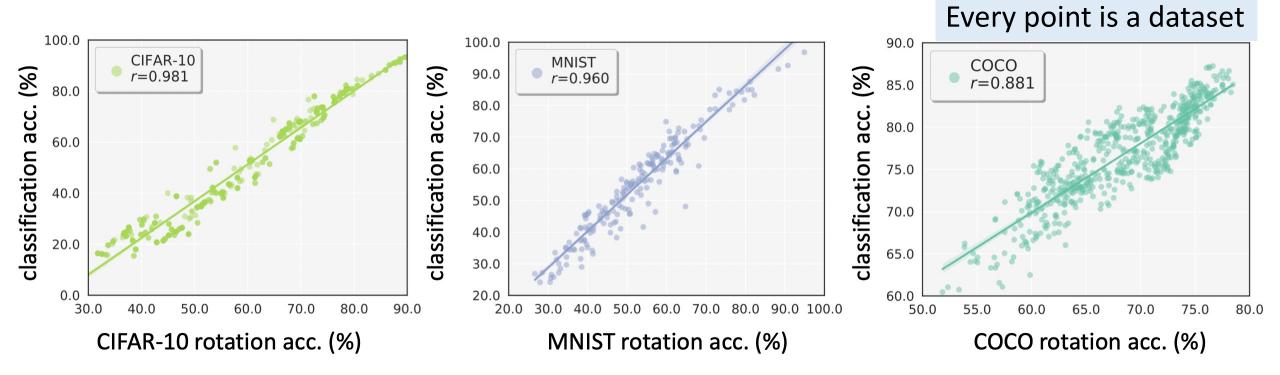
### Motivation



# **Correlation Study**

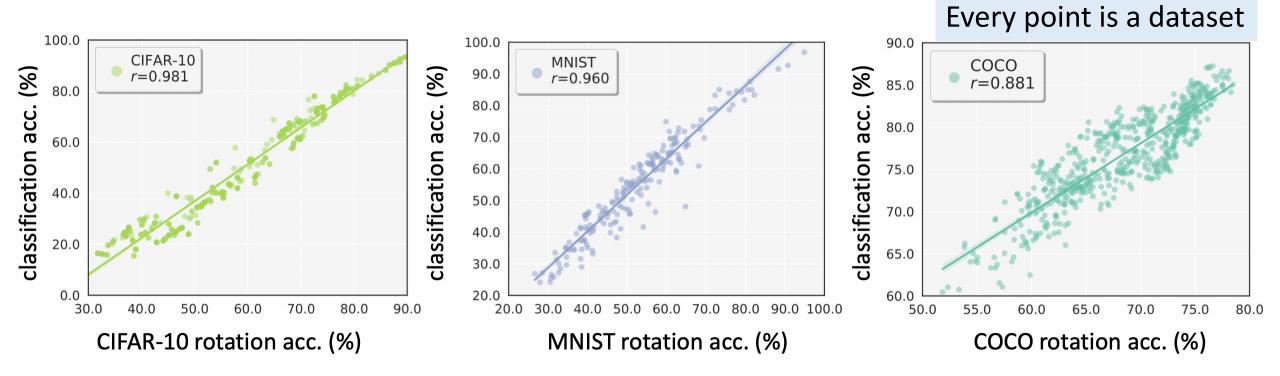
- 1. We collect many test sets from different distributions
- 2. Test our multi-task network on them and obtain
   a) sematic classification accuracy
   b) rotation prediction accuracy
- 3. Measure the accuracy relationship between two types of tasks

### **Correlation Study on Three Setups**



we consistently observe a **strong linear relationship** (*Pearson Correlation r > 0.88*) between the accuracy of two tasks

### **Correlation Study on Three Setups**



If the multi-task **network is good at predicting rotations**, it is most likely to **achieve good object recognition accuracy** under the same environment, and vice versa

### Our Solution for Accuracy Estimation: Linear Regression

• Method:

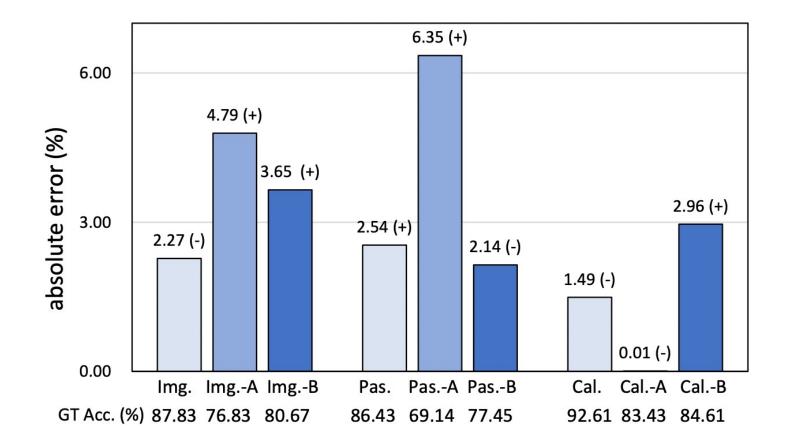
### Predict classifier performance from rotation prediction accuracy

We thus can use linear regression to predict accuracy

$$a^{cls} = w_1 a^{rot} + w_0,$$

where  $w_1, w_0 \in \mathbb{R}$  are linear regression parameters

• Linear regression achieves promising estimations



# **Conclusions and Insights**

- We study a very interesting problem: Evaluating model performance *without* ground truths
- We introduce a very simple method:

Dataset-level regression (Linear regression and Neural network regression)

• Potential Applications:

Other tasks: object retrieval, detection, segmentation, etc.

# Thank you!

The code is available at <a href="https://weijiandeng.xyz">https://weijiandeng.xyz</a>

